

# SHINGLE SPRINGS RANCHERIA



SHINGLE SPRINGS BAND OF MIWOK INDIANS  
SHINGLE SPRINGS RANCHERIA (VERONA TRACT), CALIFORNIA  
5281 HONPIE ROAD, PLACERVILLE, CA 95667  
P.O. BOX 1340, SHINGLE SPRINGS, CA 95682  
(530) 646-8010 OFFICE, (530) 676-8033 FAX

## RESOLUTION 2011-62

### **SUBJECT: APPROVAL AND ADOPTION OF TRIBAL CODE OF CONDUCT RELATING TO NAHASDA PROJECTS.**

**WHEREAS**, the Shingle Springs Band of Miwok Indians (the "Tribe") is a federally recognized Indian tribe eligible for the special programs and services provided by the United States to Indians because of their status as Indians and is recognized as possessing powers of self-government; and

**WHEREAS**, the Shingle Springs Tribal Council (the "Tribal Council") is the duly-elected governing body of the Tribe and is authorized to act on behalf of the Tribe; and

**WHEREAS**, the Tribe has submitted an application for a grant under the Indian Community Development Block Grant ("ICDBG") Program for Indian tribes to obtain funding for the Phase II expansion of the Tribe's Community Multi-purpose Building; and

**WHEREAS**, the Tribe's Community Development Department has drafted a Code of Conduct to govern the selection of contract, gratuities, awards, kickbacks, use of confidential information, and prohibition of contingent fees when NAHASDA contracts or projects are involved; and

**WHEREAS**, the Tribal Council has reviewed the attached policy and supports it in order to avoid conflicts of interest in contracting with NAHASDA funds or projects.

**NOW, THEREFORE, BE IT RESOLVED** that the Tribal Council hereby approves and adopts the attached Code of Conduct Policies regarding contracting with NAHASDA funds or projects, and authorizes the Chairman or his designee to execute any and all documents and agreements necessary as may be required to give effect to the transactions, herein contemplated, and to take such other actions as may hereby be necessary and appropriate to carry out the obligations thereunder.

**BE IT FURTHER RESOLVED** that this resolution shall take effect immediately.

### CERTIFICATION

*As a duly-elected official of the Shingle Springs Rancheria, I do hereby certify that, at a meeting duly called, noticed, and convened on the 28 day of July, 2011 at which time a quorum of 7 FOR, 0 AGAINST, 0 ABSTAINED and said resolution has not been rescinded or amended in any form.*

*[Signature]*  
Tribal Chairperson

*7/28/11*  
Date

*[Signature]*  
Tribal Secretary

*7-28-2011*  
Date



## **SHINGLE SPRINGS RANCHERIA**

Shingle Springs Band Of Miwok Indians.

Shingle Springs Rancheria

(Verona Tract), California

5281 Honpie Road, Placerville, Ca. 95667

P.O. Box 1340; Shingle Springs, Ca. 95682

(530) 676-8010 office; (530) 676-8033 Fax

### **SHINGLE SPRINGS BAND OF MIWOK INDIANS CODE OF ETHICS IN PUBLIC CONTRACTING**

#### **A. General**

Shingle Springs Band of Miwok Indians ("Tribe") programs involving NAHASDA shall adhere to the following code of conduct, consistent with tribal or federal law, and comply with NAHASDA/IHBG regulations.

#### **B. Conflict of Interest**

No employee, officer or agent of the Tribe shall participate directly or indirectly in the selection of, or in the award of, or administration of any contract if a conflict of interest, real or apparent, would be involved. Such a conflict would arise when a financial or other interest in a firm selected for award is held by, but is not limited to:

1. An employee, officer, or agent involved in making the award;
2. An employee's, officer's, or agent's relative (including grandfather, grandmother, father, mother, son, daughter, brother, sister, husband, wife, daughter-in-law, son-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half brother, and half sister); or
3. An employee's, officer's, or agent's partner; or
4. An organization which employs, is negotiating to employ, or has any arrangement concerning prospective employment of any of the above.

**C. Gratuities, Kickbacks, and Use of Confidential Information**

The Tribe's officers, employees, or agents shall not solicit or accept gratuities, favors, or anything of monetary value from contractors, potential contractors, or parties to subcontracts, and shall not knowingly use confidential information for actual personal gain.

**D. Prohibition Against Contingent Fees**

Contractors shall not retain a person to solicit or secure a Tribal contract for a commission, percentage, brokerage, or contingent fee, except for bona fide employees.

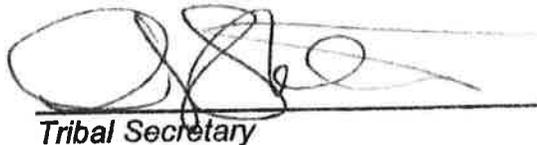
**CERTIFICATION**

As a duly-elected official of the Shingle Springs Band of Miwok Indians, I do hereby certify that, at a meeting duly called, noticed, and convened on the 28 day of July at which time a quorum of 7 FOR, 0 AGAINST, and 0 ABSTAINED, and said code of conduct has not been rescinded or amended in any form.

  
Tribal Chairperson

7-28-11  
Date

ATTEST:

  
Tribal Secretary

7-28-11  
Date